# **PCT**

#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

(11) International Publication Number:

WO 96/13932

H04N 5/76, 5/775, 5/782

A1 (43) International Publication Date:

9 May 1996 (09.05.96)

(21) International Application Number:

PCT/US95/13942

(22) International Filing Date:

27 October 1995 (27.10.95)

(30) Priority Data:

08/330.384

27 October 1994 (27.10.94)

US

(71) Applicant: GEMSTAR DEVELOPMENT CORPORATION [US/US]; Suite 870, 135 N. Los Robles Avenue, Pasadena, CA 91101 (US).

(72) Inventor: NG, Yee, Kong; 18H Bloc 4, Uptown Plaza, Tai Po, N.T. (HK).

(74) Agent: RAHN, LeRoy, T.; Christie, Parker & Hale, Suite 500, 350 West Colorado Boulevard, Pasadena, CA 91109-7068 (US).

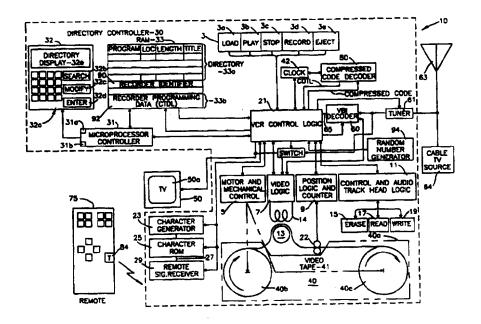
(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TT, UA, UG, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, LS, MW, SD, SZ. UG).

#### **Published**

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: APPARATUS AND METHODS FOR DOWNLOADING RECORDER PROGRAMMING DATA IN A VIDEO SIGNAL



#### (57) Abstract

Apparatus and methods are provided for controlling recording of video programs. In one embodiment an apparatus for controlling the recording of video programs includes a device (60) for retrieving a recorder identifier and recorder programming data from a television signal received from a television signal source, a device (21) for determining whether the retrieved recorder identifier matches a first identifier for the apparatus, a device (33) for storing the recorder programming data, if the retrieved recorder identifier matches the first identifier for the apparatus, and a device (21) for using the stored recorder programming data to control recording. The device for retrieving a recorder identifier and recorder programming data from a television signal includes a vertical blanking interval decoder.

### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgystan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic	SD	Sudan
CG	Congo		of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SI	Slovenia
CI	Côte d'Ivoire	KZ	Kazakhstan	SK	Slovakia
CM	Cameroon	LI	Liechtenstein	SN	Senegal
CN	China	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
CZ	Czech Republic	LV	Latvia	TJ	Tajikistan
DE	Germany	MC	Monaco	TT	Trinidad and Tobago
DK	Denmark	MD	Republic of Moldova	UA	Ukraine
ES	Spain	- MG	Madagascar	US	United States of America
FI	Finland	ML	Mali	UZ	Uzbekistan
FR	France	MN	Mongolia	VN	Viet Nam
GA	Gabon		-		

1

5

10

15

20

25

30

35

# APPARATUS AND METHODS FOR DOWNLOADING RECORDER PROGRAMMING DATA IN A VIDEO SIGNAL

### Field of the Invention:

This invention relates generally to television and recorders and particularly to programming a video cassette recorder to control the recording of video programs.

### Description of the Related Art:

The video cassette recorder (VCR) has a number of uses, including playing back of tapes filmed by a video camera, playing back of pre-recorded tapes, and recording and playing back of broadcast and cable television programs.

To program a VCR for unattended recording of a television program, a two-step process is often used: (1) obtain the correct channel, date, time and length (CDTL) information from a television program guide, and (2) program this CDTL information into the VCR. Depending on the model, year and type of the VCR, the CDTL information can be programmed in various ways including: (i) pushing an appropriate sequence of keys in the console according to instructions contained in the user's manual, (ii) pushing an appropriate sequence of keys in a remote hand-held control unit according to instructions contained in the user's manual (remote programming), and (iii) executing a series of keystrokes in the remote hand-held control unit in response to a menu displayed on the television screen (on-screen programming). Other techniques for timer preprogramming have been suggested including: (iv) reading in certain bar-code information using a light pen (light pen programming), and (v) entering instructions through a computer or telephone modem. These various methods differ only in the physical means of inputting the information while the contents, being CDTL and certain power/clock/timer on-off commands are generally common although the detailed protocol can vary with different model VCRs. Methods (i) and (ii) described above can require up to 100 keystrokes, which has inhibited the free use of the timer preprogramming feature of VCRs. To alleviate this, new VCR models have included an "On-Screen Programming" feature, which permits remote input of CDTL information in response to a menu displayed on the television screen. Generally on screen programming of CDTL information requires an average of about 18 keystrokes, which is less than some of the prior methods but still rather substantial. Some of the other techniques such as (iv) above, require the use of special equipment such as a bar code reader.

In general the above approaches suffer from a number of drawbacks. First, the procedure for setting the VCR to record in advance can be quite complex and confusing and difficult to learn; in fact, because of this many VCR owners shun using the timer preprogramming record feature. Second, the transcription of the CDTL information to the

VCR is hardly ever error-free; in fact, many users of VCR's timer preprogramming features express concern over the high incidence of programming errors. Third, even for experienced users, the process of entering a lengthy sequence of information on the channel, date, time and length of desired program can become tedious. Fourth, techniques such as reading in bar-code information or using a computer require special equipment. These drawbacks have created a serious impediment in the use of a VCR as a recording device for television programs. The effect is that time shifting of programs has not become as popular as it once was thought it would be.

The programming of a VCR for later unattended recording of one or more selected programs has been greatly simplified as disclosed in U.S. Patent 5,335,079 issued 8/2/94, which is incorporated herein by this reference as though set forth in full. As disclosed in that patent a compressed code in association with the program is published in a program listing for the channel, date, time of day, and length of a program and the compressed code is entered by the user into a unit, such as a remote control or VCR, that has the capability of decoding the compressed code. The resulting CDTL information is stored and used to turn on the VCR and to select the described channel at the appropriate time.

#### **Summary of the Invention**

1

5

10

15

20

25

30

35

It is an object of this invention to provide apparatus and methods for controlling a particular recorder for recording video programs.

It is another object of this invention to provide apparatus and methods for broadcasting recorder programming data that provides control for recording to only a particular recorder.

It is another object of this invention to provide users with a simple system for effecting VCR timer preprogramming or VCR programming.

According to the invention, apparatus and methods are provided for controlling recording of video programs. In one embodiment an apparatus for controlling the recording of video programs includes a device for retrieving a recorder identifier and recorder programming data from a television signal received from a television signal source, a device for determining whether the retrieved recorder identifier matches a first identifier for the apparatus, a device for storing the recorder programming data, if the retrieved recorder identifier matches the first identifier for the apparatus, and a device for using the stored recorder programming data to control recording. The device for retrieving a recorder identifier and recorder programming data from a television signal includes a vertical blanking interval decoder.

Other objects and many of the attendant features of this invention will be more readily appreciated as the same becomes better understood by reference to the following detailed descriptions and considered in connection with the accompanying drawings in which like reference symbols designate like parts throughout the figures.

# 1 Brief Description of the Drawings

- FIG. 1 is a block diagram illustrating a video cassette recorder according to the present invention;
- FIG. 2 is a schematic diagram illustrating an interlaced raster scanning pattern of a conventional television;
- FIG. 3 is a functional block diagram of a television video and data transmission system;
- FIG. 4 is a timing diagram showing the vertical blanking interval (VBI) lines of field 1 and field 2;
- FIG. 5 is a timing diagram of the standard data format (1X) for transmitting data in the VBI;
- FIG. 6 is a timing diagram of the accelerated data format (2X) for transmitting data in the VBI;
  - FIG. 7 is an illustration of part of a television calendar according to this invention;
- FIG. 8 is a flow graph of the compressed code decoding technique according to the present invention;
- FIG. 9 is a flow graph of the compressed code encoding technique according to the present invention; and
- FIGS. 10 13 are flow graphs of methods for controlling the recording of video programs according to the present invention.

25

5

10

15

30

35

### **Detailed Description of the Specific Embodiments**

1

5

10

15

20

25

30

35

Referring to the drawings, FIG. 1 is a block diagram illustrating a video cassette recorder 10 that provides indexing of recorded programs using a directory. The indexing VCR 10 includes a video cassette reader/recorder (VCR) function with a directory controller function 30. External to the indexing VCR 10 is a television monitor 50 and a remote controller 75. The VCR uses any one of many different recording technologies such as BETA, VHS, super VHS, 8 mm, VHS-C or any other popular technologies. The cassette 40 is a conventional video cassette having a magnetic tape 42 packaged in a cartridge 40a or cassette housing (hereafter called cassette) and transported between a feeding spindle 40b and a takeup spindle 40c. Even though the size and design of the housing is different for different types of recording technology, the basic information that goes on the tape itself is similar. The technology and operation of a conventional VCR are well understood in the art.

The indexing VCR 10 has a button control panel 3 with control buttons, including LOAD 3a, PLAY 3b, STOP 3c, RECORD 3d, and EJECT 3e for controlling the operation of the VCR. The LOAD button 3a is optional and is not used on machines which load automatically. The VCR control logic circuit 21 receives control signals from the button control panel 3 and controls the overall operation of the VCR by sending control signals to a motor and mechanical control logic circuit 5, a video logic circuit 7, a position logic and counter circuit 9, and a control and audio track head logic circuit 11, as well as to the microprocessor controller 31 of the directory controller 30.

The motor and mechanical control logic circuit 5 controls loading and ejecting of the cassette 40 and also controls movement of the video tape 41 within the video cassette 40 during recording, reading (playback), fast forward, and rewind. The video logic circuit 7 controls the operation of a video read/write head drum 13 in reading from or recording video signals to the tape 42. The electrical signals are magnetically coupled between the video logic circuit 7 and the video head drum 13 using a winding 14. The position logic and counter circuit 9 monitors tape movement through a cassette tape movement sensor 22 and generates signals that represent tape position. The control and audio track head logic circuit 11 controls writing, reading, and erasing of signals on the control or audio track of the tape 42 through the write head 19, the read head 17, and the erase head 15.

The directory controller 30 includes a microprocessor controller 31, a random access memory (RAM) 33 and a directory input/output display and control panel 32. Preferably the microprocessor controller 31 comprises an integrated circuit microprocessor, a program store 31a, such as a read-only-memory (ROM), for storing a control program to implement methods of the invention, and a clock 31b for generating a clock signal for timing functions and providing the time. The time may be set using the directory input/output display and control panel 32 in a manner known in the art. The microprocessor controller 31 controls the operation of the directory controller 30 and interfaces with the VCR control logic circuit

1

5

10

15

20

25

30

35

21 to implement the necessary functional capabilities for reading, updating and writing the directory. The microcontroller processor 31 in the indexing VCR 10 performs all indexing functions and human interface, interprets (e.g. tab, indent, screen format, attributes).

The RAM 33 is a conventional random access semiconductor memory which interfaces directly with the microprocessor controller 31. The RAM 33 is preferably non-volatile. Alternatively, the RAM 33 has a battery backup. The battery backup should maintain the contents of the memory for a predetermined time, e.g., 7 days, after the loss of power. The retention time may be shorter, if the indexing VCR uses an automatic backup of the memory onto video tape. A portion of the RAM 33, shown as system data 33b, is used for storing the system software of the microprocessor controller 31. The RAM 33 is also used for storing program directories 33a. The size of the RAM 33 is at the discretion of the manufacturer. However, the RAM 33 preferably can store the directory of at least 400 tapes. Accordingly, the RAM 33 has preferably at least 256 kilobits of memory for library storage. Effective memory size of the RAM 33 may be increased by using well known data compression techniques. Data recorded in the RAM 33 may be encoded or scrambled.

The directory input/output display and control panel 32 has an alphanumeric keyboard 32a and special function keys, such as a SEARCH key 32b for commanding searches for data in the directory 33a and on the tape 42, a MODIFY key 32c for modifying or deleting directory information in the RAM 33, and an ENTER key 32d for entering program directory information. Instead of providing special function keys, functions can also be initiated by entering predefined sequences of conventional keys on the alphanumeric keyboard 32a.

A display 32e is a conventional liquid crystal or other type display for displaying data being entered on the keyboard 32a, and to display the directory or other information stored in the RAM 33. Alternately, data can be shown on-screen a television display 50a. The directory information stored in the RAM 33 is processed by the microprocessor controller 31.

The VCR 10 additionally comprises a character generator circuit 23 coupled to the VCR control logic circuit 21 and to a character generator read-only memory (ROM) 25. Character generators are well-known in the art. Typically, the character generator ROM 25 stores a data table representing pixel or bit patterns of a plurality of alphanumeric characters, such as the Roman alphabet and the Arabic numerals. Upon command by the VCR control logic circuit 21 and the character generator circuit 23, the data in the character generator ROM 25 is read and placed in an output signal to a video display, such as television 50, at a position on the display determined by coordinates generated by the microprocessor controller 31, or the characters could be sent to display 32e. The end result is visual display of a alphanumeric character on the display screen.

1

5

10

15

20

25

30

35

As shown in FIG. 1, vertical blanking interval (VBI) signal decoder 60 is coupled to the output of a tuner 61, which receives a broadcast TV signal from an antenna 63, a cable TV signal source 64, or a satellite receiver system. The vertical blanking interval (VBI) is the time that the beam on a television is retracing from the bottom to the top of the screen. During this interval video is not written to the screen, thus, information can be sent during the vertical blanking interval. The VBI decoder 60 decodes data in the VBI of a received video signal. The VBI is further described below.

Directory data can be encoded in the VBI and retrieved by the VBI decoder 60 and provided to the directory controller for storage in RAM 33. For example, the directory data can include the program name and the program type. Note that directory data can also be entered into RAM 33 by using keypad 32a.

A decoder signal line 65 is coupled from the VBI decoder 60 to the VCR control logic circuit 21. The VCR control logic circuit 21 is commanded by the microprocessor controller 31 to store the decoded directory data in the directory 33a under control of a stored program in the RAM 33. The directory data can be displayed on the television 50 or the display 32e.

The use of the directory data to retrieve programs recorded on video tape 41 is further described in U.S. Patent Ser. No. 08/176,852 filed, December 30, 1993 which is incorporated herein by this reference as though set forth in full.

The VBI decoder 60 can also be used to retrieve a recorder identifier and recorder programming data from the television signal received by the VBI decoder 60 from the tuner 61. The user can enter and store a recorder identifier in RAM 33, such as shown by stored recorder identifier 90 in FIG. 1. The entered recorder identifier 90 is compared to the retrieved recorder identifier, which is retrieved from the television signal by the VBI decoder 60, and if the entered recorder identifier matches the retrieved recorder identifier, then the recorder programming data retrieved from the television signal by the VBI decoder 60 is stored under control of the VCR control logic 21 and the microprocessor controller 31 into the RAM 33, as shown by stored recorder programming data 92. The recorder programming data consists of a channel, a date, a time-of-day and a program length (CDTL) for a program to be recorded. Clock 42 is included in VCR 10 and keeps time including the time-of-day and a date. For example, the date could be August 3, 1994 and the time-of-day could be 3:00 p.m.

The recorder programming data 92 is used to control the recording of programs of the programming data by the VCR 10. When the date and time-of-day of the recorder programming data, compare to the clock date and clock time-of-day, then the channel of the recorder programming data is used to tune the tuner 61 to the proper channel and then recording by the recorder is started. When the recording has continued for a time equal to the program length of the recorder program data, then the recording for that program is ended.

1

5

10

15

The VCR 10 also includes a compressed code decoder 80 which is further explained below. Briefly, the compressed code decoder can decode a compressed code which is representative of and compressed in length from the combination of a channel, a date, a time-of-day and a program length for a program to be recorded. The retrieved recorder programming data can include compressed codes. When the VBI decoder 60 retrieves a compressed code, the compressed code is sent to the compressed code decoder 80 by VCR control logic 21 to decode the compressed code into channel, date, time-of-day and program length, which are then stored in RAM 33 as shown by stored recorder programming data 92. Then the channel, date, time-of-day and program length are used in the manner described above to control the VCR 10 for recording programs.

The compressed code decoder can perform the decoding as a function of the clock 42. By making the decoding of the compressed codes a function of the clock, the algorithm for decoding the compressed codes is a function of time and therefore more difficult to derive.

The television signals received by tuner 61 are received by many such tuners in different VCRs, because the television signals received via antenna 63, cable TV signal source 64, or a satellite receiver system are broadcast to many receivers. The recorder identifier that is sent with the television signal and inserted into the vertical blanking interval of the television signal is affectively an address to a particular VCR or other apparatus that is identified by the broadcasted recorder identifier.

20

In order for a user to obtain recorder programming data from a television signal broadcasted from a station or cable TV source, the user calls a representative at a station and informs the representative of the recorder identification for his/her VCR 10. The user also identifies programs to be recorded or selection criteria to be used to select programs for recording. The representative can be an actual person who answers the phone and then enters the data into a computer, or the representative function can be automated and the user can enter the required data via telephone touch-tones. The computer at the remote site can then be used to convert the identified programs or program selection criteria into a set or sets of channel, date, time-of-day and program length (CDTL) data. The computer is linked to a station which can insert the recorder identification and the set of CDTL data for programs to be recorded into the vertical blanking interval of a transmitted TV signal.

30

25

Instead of generating a set of channel, date, time-of-day and program length, (CDTL) data the computer can also generate a set of compressed codes, each compressed code being compressed in length from the combination of the lengths of the channel, date, time-of-day and program length data.

35

There is sufficient band-width available to insert the requests from many users into the vertical blanking interval of a transmitted TV signal. All that is required is to transmit the data for a particular user prior to the time that the programs to be recorded are broadcasted. If multiple users request to have recorder programming data downloaded to their VCR via

# **PCT**

#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

(11) International Publication Number:

WO 96/13932

H04N 5/76, 5/775, 5/782

A1 (43) International Publication Date:

9 May 1996 (09.05.96)

(21) International Application Number:

PCT/US95/13942

(22) International Filing Date:

27 October 1995 (27.10.95)

(30) Priority Data:

08/330.384

27 October 1994 (27.10.94)

US

(71) Applicant: GEMSTAR DEVELOPMENT CORPORATION [US/US]; Suite 870, 135 N. Los Robles Avenue, Pasadena, CA 91101 (US).

(72) Inventor: NG, Yee, Kong; 18H Bloc 4, Uptown Plaza, Tai Po, N.T. (HK).

(74) Agent: RAHN, LeRoy, T.; Christie, Parker & Hale, Suite 500, 350 West Colorado Boulevard, Pasadena, CA 91109-7068 (US).

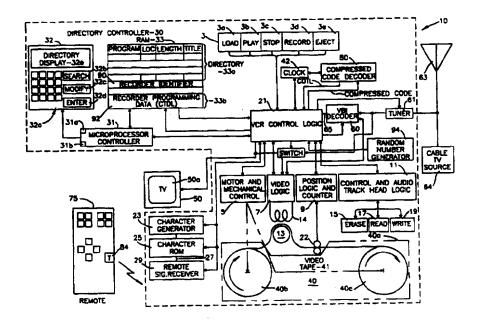
(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TT, UA, UG, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, LS, MW, SD, SZ. UG).

#### **Published**

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: APPARATUS AND METHODS FOR DOWNLOADING RECORDER PROGRAMMING DATA IN A VIDEO SIGNAL



#### (57) Abstract

Apparatus and methods are provided for controlling recording of video programs. In one embodiment an apparatus for controlling the recording of video programs includes a device (60) for retrieving a recorder identifier and recorder programming data from a television signal received from a television signal source, a device (21) for determining whether the retrieved recorder identifier matches a first identifier for the apparatus, a device (33) for storing the recorder programming data, if the retrieved recorder identifier matches the first identifier for the apparatus, and a device (21) for using the stored recorder programming data to control recording. The device for retrieving a recorder identifier and recorder programming data from a television signal includes a vertical blanking interval decoder.

1

5

10

15

20

25

30

35

The following description details how the recorder identifier and the recorder programming data is inserted into the vertical blanking interval of a broadcast television signal. First, the vertical blanking interval itself is described.

Video images in a cathode ray tube (CRT) type-video device, e.g. television, are generated by scanning a beam along a predefined pattern of lines across a screen. Each time all the lines are scanned, a frame is said to have been produced. In one implementation, such as used in the United States, a frame is scanned 30 times per second. Each television frame comprises 525 lines which are divided into two separate fields, referred to as field 1 ("odd field") and field 2 ("even field"), of 262.5 lines each. Accordingly, these even and odd fields are transmitted alternately at 60 Hz. The lines of the even and odd fields are interleaved to produce the full 525 line frame once every 1/30 of a second in a process known as interlacing. Another standard in the world uses 625 lines of information and interlace 312 and 313 lines at 50 fields per second. In the 525 line standard used in the United States, approximately 480 lines are displayed on the television screen.

Referring now to the drawings, FIG. 2 is a schematic diagram illustrating the interlaced scanning pattern 100 on a screen of a conventional television receiver. A video display scans the beam from the top left hand corner and scans across the screen (line 22, field 1 in FIG. 2). After it finishes scanning the first line, the beam returns to the left hand side during a period known as a horizontal blanking interval and repeats scanning along another line which is parallel to but lower than the previous line (line 23, field 1 in FIG. 2). The scanning continues along the lines until the beam reaches the center of the bottom part of the screen (line 263, field 1) to complete field 1, which is comprised of lines 102.

From the bottom center of the screen, the beam returns to the top where it starts scanning from substantially the center of the screen along the lines 104 for field 2 which interlace the lines of field 1. This is not an instantaneous bottom to top jump but actually requires the length of time to scan 21 horizontal lines. These lines 106 are lines 1 through 21 of field 2. The second half of line 21 field two (line 284 as shown in FIG. 2) is displayed. Then lines 285 to 525 of field 2 are scanned to complete field 2. When the beam retaches the bottom, right hand corner of the screen, the picture frame is formed. Then the beam retraces to the top and the vertical blanking interval lines 108 are numbered 1 through 21 of field 1. In the NTSC protocol widely used in North America, each field contains 262.5 horizontal lines and a pair of fields constitute a single 525 line video frame and creates one video picture at one instant in time on the video display.

During the time in which the beam returns from the bottom to the top of the screen between the fields, it carries no video or picture signals because it does not produce any picture element on the screen. This time interval is generally known as the vertical blanking interval (VBI). Its duration is typically 21 times the time duration that it takes the beam to scan across the screen. In other words, the duration of the VBI is equal to the time for the

1

5

10

15

20

25

30

35

beam to scan 21 lines and is divided into 21 lines. In interlaced scanning, the VBI is identified by the field with which it is associated. Apparatus and methods using the NTSC standard with 21 lines in each VBI are well known in the art and therefore are not discussed in detail herein.

Because no image is produced on the display during the vertical blanking interval, no picture information therefore needs to be carried by the broadcast signals. Thus, the VBI is used for conveying auxiliary information from a television network or station to an audience. For example, closed caption data associated with the television program are transmitted as encoded composite data signals in VBI line 21, field 1 of the standard NTSC video signal, as shown in FIG. 4.

Lines 1 through 9 of the VBI of each field are used for vertical synchronization and post equalizing pulses. Thus, lines 10 through 21 are available for auxiliary information.

FIG. 3 is a functional block diagram of a data transmission system. As used herein, the terms "broadcast" and "transmit" are used interchangeably for the transmission of signals over cable or fiber optics, to or from satellites, over the air, and the like. A network head end 10001 transmits a composite television signal containing inserted information in a portion thereof, typically the vertical blanking interval, to a satellite 10002 which rebroadcasts the same to a local affiliate 10003. The affiliate 10003 may further insert data into the vertical blanking interval of the received television signal and transmit the same to a local cable head end 10004. The cable head end 10004 receives television signals from a plurality of sources (including satellites) and may further insert data into the vertical blanking interval of any of the television signals. The signals from the plurality of sources are combined into a composite television signal, amplified, and provided over a cable to a plurality of individual receivers 10005, which can include televisions, cable boxes, VCRs and satellite receivers. In addition, the individual receivers 10005 may receive signals directly from the local affiliate 10003 by air, which may include the use of a satellite 10002, or by cable.

More specifically, the network head end has a video tape recorder (VTR) 10006 for providing a program signal to an inserter 10007. A controller 10008 also at the head end controls the scheduling of loading tapes from a cart (a machine with a plurality of video tape cassettes which are moved by a robotic arm from a storage location and inserted into a video tape recorder and vice versa). Furthermore, the controller 10008 controls the lighting of stages during live broadcasts, such as news broadcasts. The controller 10008 is typically a microprocessor based system. A traffic computer 10009 controls the exact timing of playing individual segments of video tapes and inserting commercials therebetween as well as switching between different programs. Some network head ends have both a traffic computer 10009 and a controller 10008. The controller 10008 provides data and commands to the inserter 10007. The traffic computer 10009 provides data and commands to the controller if present. Otherwise, the traffic computer 10009 provides these signals directly to the

1

5

10

15

20

25

30

35

inserter 10007. The inserter 10007 inserts data into the vertical blanking interval of the composite television signal, as will be described below, and provides the television signal to a transmitter 10010 which in turn provides the television signal on a microwave carrier to a satellite dish 10011 for transmission to the satellite 10002.

The satellite 10002 retransmits the received signal, which is received by a satellite dish 10012 at the affiliate 10003. The dish provides the signal to a station inserter 10013 at the local affiliate 10003. The affiliate may also insert data into the composite television signal as will be described below. The television signal is then provided to a transmitter 10014 and then to a transmitting antenna 10015.

A local cable operator 10004 has a plurality of satellite dishes 10016 and antennas 10017 for receiving signals from a plurality of networks 10001 and affiliates 10003. The received signal from each of the dishes 10016 and antennas 10017 is provided to a respective input of a multi-channel inserter 10018, which can input data into the vertical blanking interval of a received signal. The multi-channel output from the inserter 10018 is amplified in an amplifier 10019 and provided over a cable 10020 to individual receivers 10005. Alternately the receivers 10005 could receive broadcast information via antennas or satellite receivers.

Each receiver 10005 includes a VBI decoder, which can include a VBI slicer and closed caption decoder, that scans VBI lines 10-21 of both fields 1 and 2. In addition it is possible to use the first few visible lines in each video frame for VBI data, for example, lines 22-24. Lines 1 through 9 are typically used for vertical synchronization and equalization and, thus, are not used to transmit data. Closed captioning and text mode data are generally transmitted on VBI line 21, field 1 of the standard NTSC video signal, at a rate of 2 bytes for each VBI line 21, field 1, as shown by closed caption data 112 in FIG. 4. The text mode fields fill the entire screen with text. The default mode is an open ended mode in which the page is first filled up and then scrolled up. The individual recipient of such data has no control over the data. Extended data services (EDS) data can be transmitted on VBI line 21, field 2, as shown by EDS data 116 in FIG. 4, at a rate of 2 bytes per VBI line 21, field 2.

By way of background, the data in the vertical blanking interval can be described in terms of the wave form, its coding and the data packet. The closed caption data wave form has a clock run-in followed by a frame code, followed by the data. The coding of the data is non-return-to-zero (NRZ) 7 bit odd parity.

Under mandatory FCC requirements effective July 1993, color televisions having a size 13" and greater must provide a closed caption decoder. Caption data decoding is further described in the following specifications, which are hereby incorporated by reference herein: Title 47, Code of Federal Regulations, Part 15 as amended by GEN. Docket No. 91-1; FCC 91-119; "CLOSED CAPTION DECODER REQUIREMENTS FOR THE TELEVISION RECEIVERS"; Title 47, C.F.R., Part 73.682(a)(22), Caption Transmission format; Title 47,

1

5

10

15

20

25

30

35

C.F.R. Part 73.699, figure 6; "TELEVISION SYNCHRONIZING WAVE FORM"; Title 47, C.F.R., Part 73.699, figure 17a; "LINE 21, FIELD 1 DATA SIGNAL FORMAT"; and PBS Engineering Report No. E-7709-C, "TELEVISION CAPTIONING FOR THE DEAF: SIGNAL AND DISPLAY SPECIFICATIONS".

Under the extended data services (EDS) proposed in the Recommended Practice for Line 21 Data Service, Electronics Industries Association, EIA-608 (drafts October 12, 1992 and June 17, 1993) (hereinafter referred to as "EIA-608" standard"), the subject matter of which is incorporated herein by reference, additional data is provided in line 21, field 2 of the vertical blanking interval. This recommended practice includes two closed captioning fields, two text mode fields and the extended data services. The extended data includes, among other information, program name, program length, length into show, channel number, network affiliation, station call letters, UCT (universal coordinated time) time, time zone, and daylight savings time usage. Upstream at the network, the network inserts the program name, the length of the show, the length into the show, the network affiliation, and the UCT time. Downstream at the affiliate, the affiliate inserts the channel number, the time zone, the daylight savings time usage and program names. The network inserts the data that does not differ for different affiliates.

The data is transmitted in packets. Six classes of packets are proposed in the EIA-608 standard, including: (1) a "Current" class for describing a program currently being transmitted; (2) a "Future" class for describing a program to be transmitted later; (3) a "Channel Information" class for describing non-program specific information about the transmitting channel; (4) a "Miscellaneous" class for describing other information; (5) a "Public Service" class for transmitting data or messages of a public service nature such as National Weather Service Warnings and messages; and (6) a "Reserved" class reserved for future definition.

The data inserted into the television signal by the various inserters includes closed captioning data and EDS data. The inserted data can also include other data such as programming data which can be channel, date, time-of-day and program length (CDTL) or compressed codes representing the CDTL, as shown by data 114 in FIG. 4. As will be explained this data is inserted into a program video segment. The data can be inserted into either or both fields in any VBI line between 10 and 20. For example the data can be inserted into line 20 of field 2, as shown by the data 114 in FIG. 4. The data may be inserted into the VBI at the closed caption rate (1X format) or at two times the closed caption rate (2X format), which is further explained below.

The data may be manually entered from a local terminal 10021. The local terminal 10021 may be used to pre-build, recall, or edit messages. The terminal 10021 typically includes a computer. In addition, a modem 10022 may be used to provide data to the inserter 10007. The data may be provided manually or automatically from remote sites, such

1

5

10

15

20

25

30

35

as a television program guide publisher or the network head end. The output of the inserter 10007 is a composite television signal with the data inserted.

The timing of video signals in NTSC format is well known in the art. As described above, the vertical blanking interval is the time between the flyback from the bottom of the screen to the top of the screen. Although no video signal is displayed, the horizontal synchronization pulses are still provided during the VBI. The standard data transmission rate is defined in the EIA-608 standard.

As shown in FIG. 5, the horizontal synchronization pulse 120 is followed by color burst signals 122. For closed caption and EDS data, a clock run-in cycle 124 follows the color burst which in turn is followed by a frame code 126. The clock run-in is "10101010101." The frame code is "01000011." Two data bytes 128 and 130 are transmitted in each VBI line. Each byte is 8 bits including a parity bit. This format is referred to as the standard data rate format (or 1X format). Each byte in the VBI line is arranged with the least significant byte first. The last bit is used as parity for error checking. Each byte of the transmitted data is parity checked upon receipt. The 1X format is the format used to transmit closed captions in VBI line 21 field 1, as shown by closed caption data 112 in FIG. 4. It is also the format used to transmit EDS data in VBI line 21 field 2, as shown by EDS data 116 in FIG. 4.

An accelerated data format (2X format) as shown in FIG. 6 uses a bit rate twice that of the 1X format to thereby provide 4 bytes per VBI line. The clock run-in 144 is the bit sequence "10101010." The frame code 146 is "10011101101." Four data bytes 148, 150, 152 and 154 are transmitted each VBI line. The 2X format can be used to transmit data 114 in FIG. 4.

Now that the manner of inserting the recorder identification and the recorder programming data into the vertical blanking interval has been described, the use of compressed codes for representing CDTL information will be described.

FIG. 7 shows a television calendar 300 which has compressed codes. For example, the compressed code for Sports Retrospective on channel 18 at 6:00 p.m. is 68713. The compressed code for the game Double Dare on Nickelodeon has a compressed code of 29225. The television calendar has multiple day of year sections 302, multiple day section 304, multiple time-of-day section 306, channel identifiers 308 and descriptive program identifiers 310, including the name of the program arranged in a manner that is common in television guide publications. Arranged in relation to each channel identifier is a compressed code indication 312, which is a compressed code representative of and compressed in length from the combination of a channel, a date, a time of day and a program length for a program to be recorded. Downloading recorder programming data via the vertical blanking interval allows the user to program the VCR even when the user is away from home. The user can look up a program in a television calendar such as the calendar shown in FIG. 7, and select

the programs that he wishes to record. Then the user could call the representative and give the representative his recorder identification number and indicate the programs that he wishes to record. A user could give the CDTL information to the representative or could give compressed codes such as compressed code 312 to the representative. Then as long as the video cassette recorder has blank tape inserted in the video cassette recorder, the VCR can be programmed via the recorder programming data downloaded in the vertical blanking interval and then record the programs on the proper channels at the proper times.

The following describes a method for decoding a compressed code into CDTL data. A method is also described for encoding CDTL data into compressed codes.

FIG. 8 is a flow diagram of a preferred compressed code decoding technique. To understand compressed code decoding, it is easiest to first explain the compressed code encoding technique, for which FIG. 9 is the flow chart. Then the compressed code decoding technique, which is the reverse of the compressed code encoding will be explained.

The encoding of the compressed codes can be done on any computer and is done prior to preparation of any program guide that would include compressed codes. For each program that will be printed in the guide, a channel, date, time and length (CDTL) code 244 is entered in step 242. Step 246 separately reads the priority for the channel, date, time and length in the priority vector storage 222, which can be stored in a read only memory. The priority vector storage 222 contains four tables: a priority vector C table 224, a priority vector D table 226, a priority vector T table 228 and a priority vector L table 230.

The channel priority table is ordered so that the most frequently used channels have a low priority number. An example of the data that is in priority vector C table 224 follows.

25

35

1

5

10

15

20

Generally the dates of a month all have an equal priority, so the low number days in a month and the low number priorities would correspond in the priority vector D table as in the following example.

The priority of the start times would be arranged so that prime time would have a low priority number and programs in the dead of the night would have a high priority number. For example, the priority vector T table would contain:

time	6:30pm	7:00pm	8:00pm	7:30pm	• • •
priority	0	1	2	3	•••

An example of the data that is in the priority vector L table 230 is the following:

length of program (hours) 
$$0.5 \quad 1.0 \quad 2.0 \quad 1.5 \quad 3.0 \dots$$
 priority  $0 \quad 1 \quad 2 \quad 3 \quad 4 \quad \dots$ 

5

10

15

20

1

Suppose the channel date time length (CDTL) 244 data is 5 10 19.00 1.5, which means channel 5, 10th day of the month, 7:00 PM, and 1.5 hours in length, then for the above example the  $C_p$ ,  $D_p$ ,  $T_p$ ,  $L_p$  data 248, which are the result of looking up the priorities for channel, date, time and length in priority tables 224, 226, 228 and 230 of FIG. 9, would be 4 9 1 3. Step 250 converts  $C_p$ ,  $D_p$ ,  $T_p$ ,  $L_p$  data to binary numbers. The number of binary bits in each conversion is determined by the number of combinations involved. Seven bits for  $C_p$ , which can be denoted as  $C_7$   $C_6$   $C_5$   $C_4$   $C_3$   $C_2$   $C_1$ , would provide for 128 channels. Five bits for  $D_p$ , which can be denoted as  $D_5$   $D_4$   $D_3$   $D_2$   $D_1$ , would provide for 31 days in a month. Six bits for  $T_p$ , which can be denoted as  $T_6$   $T_5$   $T_4$   $T_3$   $T_2$   $T_1$ , would provide for 48 start times on each half hour of a twenty four hour day. Four bits for length, which can be denoted as  $L_4$   $L_3$   $L_2$   $L_1$ , would provide for a program length of up to 8 hours in half hour steps. Together there are 7+5+6+4=22 bits of information, which correspond to  $2^{**}22=4,194,304$  combinations.

The next step is to use bit hierarchy key 220, which can be stored in a read only memory to reorder the 22 bits. The bit hierarchy key 220 can be any ordering of the 22 bits. For example, the bit hierarchy key might be:

$$L_8 C_3 \dots T_2 C_2 T_1 C_1 L_1 D_5 D_4 D_3 D_2 D_1$$
  
22 21 ... 10 9 8 7 6 5 4 3 2 1

25

30

Ideally the bit hierarchy key is ordered so that programs most likely to be the subject of timer preprogramming would have a low value binary number, which would eliminate keystrokes for timer preprogramming the most popular programs. Since all the date information has equal priority, then the  $D_5$   $D_4$   $D_3$   $D_2$   $D_1$  bits are first. Next  $T_1$   $C_1$   $L_1$  are used, because for whatever date it is necessary to have a time channel and length and  $T_1$   $C_1$   $L_1$  are the most probable in each case due to the ordering of the priority vectors in priority vector storage 222. The next bit in the hierarchy key is determined by the differential probabilities of the various combinations. One must know the probabilities of all the channels, times and lengths for this calculation to be performed.

35

For example, the probability for channels may be:

channel	4	7	2	3	5	6	11	13
priority	0	1	2	3	4	5	6	7
probability(%)	5	4.3	4	3	2.9	2.1	2	1.8

The probabilities for times might be:

1

5

20

25

30

35

	time	6:30pm	7:00pm	8:00pm	7:30pm	•••
10	priority	0	1	2	3	
	probability(%)	8	7.8	6	5	•••

And, the probabilities for lengths might be:

The probabilities associated with each channel, time and length, as illustrated above, are used to determine the proper ordering. Since the priority vector tables are already ordered by the most popular channel, time, and length, the order in which to select between the various binary bits for one table, for example selecting between the  $C_7$   $C_6$   $C_5$   $C_4$   $C_3$   $C_2$   $C_1$  bits, is already known. The  $C_1$  bit would be selected first because as the lowest order binary bit it would select between the first two entries in the channel priority table. Then the  $C_2$  bit would be selected and so on. Similarly, the  $T_1$  and  $L_1$  bits would be used before any of the other time and length bits. A combination of the  $C_1$ ,  $T_1$ ,  $L_1$  and  $D_5$   $D_4$   $D_3$   $D_2$   $D_1$  bits should be used first, so that all the information is available for a channel, date, time and length. The  $D_5$   $D_4$   $D_3$   $D_2$   $D_1$  bits are all used because the date bits all have equal priority and all are needed to specify a date even if some of the bits are binary zero.

At this point the bit hierarchy key could be:

The first channel binary bit  $C_1$  by itself can only select between  $2^1 = 2$  channels, and the first two channels have a probability percent of 5 and 4.3, respectively. So the differential probability of  $C_1$  is 9.3. Similarly, the differential probability of  $T_1$  is  $text{8} + 7.8 = 15.8$ , and the differential probability of  $text{L}_1$  is  $text{50} + 20 = 70$ . If the rules for ordering the bit hierarchy key are strictly followed, then the first 8 bits of the bit hierarchy key should be ordered as:

1

5

10

15

20

25

30

35

because  $L_1$  has the highest differential priority so it should be next most significant bit after  $D_5$ , followed by  $T_1$  as the next most significant bit, and then  $C_1$  as the next most significant bit. Notice that the bit hierarchy key starts with the least significant bit  $D_1$ , and then is filled in with the highest differential probability bits. This is for the purpose of constructing the most compact codes for popular programs.

The question at this point in the encoding process is what should the next most significant bit in the hierarchy key be:  $T_2$ ,  $C_2$ , or  $L_2$ . This is again determined by the differential probabilities, which can be calculated from the above tables for each bit. Since we are dealing with binary bits, the  $C_2$  in combination with  $C_1$  selects between  $2^2 = 4$  channels or 2 more channels over  $C_1$  alone. The differential probability for  $C_2$  is then the additional probabilities of these two additional channels and for the example this is: 4 + 3 = 7. In a similar manner  $C_3$  in combination with  $C_1$  and  $C_2$  selects between  $2^3 = 8$  channels or  $4 = 2^{(3-1)}$  more channels over the combination of  $C_1$  and  $C_2$ . So the differential probability of  $C_3$  is the additional probabilities of these four additional channels and for the example this is: 2.9 + 2.1 + 2 + 1.8 = 8.8. In a similar manner, the differential probabilities of  $T_2$  and  $T_2$  can be calculated to be  $T_2$  and  $T_3$  can be calculated to be  $T_3$  and  $T_3$  can be calculated, the next step is determining which combinations of bits are more probable.

Now for the above example, which combination is more probable:  $T_2$  with  $C_1$   $L_1$ , or  $C_2$  with  $T_1$   $L_1$ , or  $L_2$  with  $T_1$   $C_1$ . This will determine the next bit in the key. So, which is greater: 11x9.3x70 = 7161; 7x15.8x70 = 7742; or 20x15.8x9.3 = 2938.8? In this case the combination with the greatest probability is 7x15.8x70 = 7742, which corresponds to  $C_2$  with  $T_1$   $L_1$ . So,  $C_2$  is selected as the next bit in the bit hierarchy key.

The next bit is selected in the same way. Which combination is more probable:  $C_3$  with  $T_1$   $L_1$ , or  $T_2$  with  $C_1$  or  $C_2$  and  $L_1$ , or  $L_2$  with  $C_1$  or  $C_2$  and  $T_1$ . For the example shown, which has the greatest probability: 8.8x15.8x70 = 9732.8; 11x(9.3+7)x70 = 12551; or 20x(9.3+7)x15.8 = 5150.8? In this case the combination with the greatest probability is 11x(9.3+7)x70 = 12551, which corresponds  $T_2$  with  $C_1$  or  $C_2$  and  $L_1$ . So,  $T_2$  is selected as the next bit in the bit hierarchy key. This procedure is repeated for all the differential probabilities until the entire key is found. Alternately, the bit hierarchy key can be just some arbitrary sequence of the bits. It is also possible to make the priority vectors interdependent, such as making the length priority vector dependent on different groups of channels. Another technique is to make the bit hierarchy key 220 and the priority vector tables 222, a function of clock 42, as shown in FIG. 9. This makes it very difficult for the key and therefore the coding technique to be duplicated or copied.

For example it is possible to scramble the date bits in the bit hierarchy key 220 as a function of the clock. Changing the order of the bits as a function of the clock would not change the effectiveness of the bit hierarchy key in reducing the number of binary bits for the most popular programs, because the date bits all are of equal priority. This could be as simple as switching the  $D_1$  and  $D_5$  bits periodically, such as every day or week. Thus the bit hierarchy key 220 would switch between

$$\ldots \quad \quad C_1 \ T_1 \ L_1 \ D_5 \ D_4 \ D_3 \ D_2 \ D_1 \ \ \text{and} \quad \quad$$

Clearly other permutations of the bit hierarchy key as a function of the clock are possible.

The priority vector tables could also be scrambled as a function of the clock. For example, the first two channels in the priority channel table could just be swapped periodically. If this technique is followed, then the  $C_p$  of 248 in FIG. 9 would change as a function of the clock 42. For example,

channel	4	7	2	3	5	6	11	13	•••
priority	0	1	2	3	4	5	6	7	•••

20

1

5

10

15

would change periodically to:

channel	7	4	2	3	5	6	11	13	• • •
priority	0	1	2	3	4	5	6	7	•••

25

30

35

This would be a fairly subtle security technique, because a decoder that was otherwise correct would only fail if those first two channels were being used. Other clock dependencies are also possible to provide security for the coding technique.

However it is derived, the bit hierarchy key 220 is determined and stored. In step 254 the binary bits of C<sub>p</sub>,D<sub>p</sub>,T<sub>p</sub>,L<sub>p</sub> are rearranged according to the bit hierarchy key 220 to create one 22 bit binary number. Then the resulting 22 bit binary number is converted to decimal in the convert binary number to decimal compressed code step 256. The result is compressed code 258.

If the priority vector and the bit hierarchy key are well matched to the viewing habits of the general population, then it is expected that the more popular programs would require no more than 3 or 4 digits for the compressed code.

Now that the encoding technique has been explained the decoding technique is just reversing the coding technique. This is done according to the flow chart of FIG. 8.

1

5

10

15

20

25

30

35

The first step 202 is to enter compressed code 204. Next the compressed code 204 is converted to a 22 bit binary number in step 206. Then the bits are reordered in step 208 according to the bit hierarchy key 220 to obtain the reordered bits 210. Then the bits are grouped together and converted to decimal form in step 212. As this point we obtain  $C_p, D_p, T_p, L_p$  data 214, which are the indices to the priority vector tables. For the above example, we would have at this step the vector 4 9 1 3. This  $C_p, D_p, T_p, L_p$  data 214 is then used in step 216 to look up channel, date, time, and length in priority vector storage 222. The CDTL 218 for the example above is 5 10 19.00 1.5, which means channel 5, 10th day of the month, 7:00 PM, and 1.5 hours in length.

If the coding technique is a function of the clock then it is also necessary to make the decoding technique a function of the clock. It is possible to make the bit hierarchy key 220 and the priority vector tables 222, a function of clock 42, as shown in FIG. 8. This again makes it very difficult for the key and therefore the coding technique to be duplicated or copied. It is also possible to have the decoding and encoding techniques dependent on any other predetermined or preprogrammable algorithm.

FIGS. 10 to 13 are flow graphs of methods for controlling the recording of video programs according to the present invention. FIG. 10 is a flow graph of a method for a user to set up the download of information in the vertical blanking interval and for a station to insert the required data into the vertical blanking interval of a transmitted television signal. In step 400 a user calls a representative and communicates a recorder identification. Then in step 402 the user identifies programs or program selection criteria for programs to be recorded. Then in step 404 the identified program or program selection criteria are used to generate a set or sets of channel, date, time-of-day and program length (CDTL) data, or in step 404 the identified program or program selection criteria are used to generate a set of compressed codes. Each compressed code compressed in length from the combination of the lengths of the channel, date, time-of-day and program length. Then in step 406 the station inserts into the vertical blanking interval of a transmitted TV signal the recorder identification and the set or sets of CDTL data or compressed codes. It is possible for the recorder programming data to be a mix of compressed codes and CDTL data.

FIG. 11 is a flow graph of a method for retrieving recorder program data from a vertical blanking interval for controlling the recording of programs on a recorder. In step 410 the vertical blanking interval is decoded by a receiving recorder to extract a transmitted recorder identification and recorder programming data, consisting of CDTL data and/or compressed codes. Then in step 412 each compressed code is decoded into CDTL data. Then in step 414 if the transmitted recorder identification matches the recorder identification of the receiving recorder then the CDTL data is stored in memory in the recorder. Then in step 416 when the date and time-of-day from a clock in the recorder matches the data and

time-of-day for a stored CDTL, the recorder is tuned to the channel for the stored CDTL and records the program for a length of time matching the program length for the stored CDTL.

FIG. 12 is a flow graph that is similar to the flow graph of FIG. 10 except that a random number is used for the recorder identification. In step 420 the recorder generates a random number and displays it to the user. Then in step 422 the user calls a representative or an automated remote site and communicates the random number and identifies programs or program selection criteria for programs to be recorded. In step 424 the identified programs or the program selection criteria are used to generate a set of channel, date, time-of-day and program length (CDTL) data for a set of compressed codes wherein each compressed code is compressed in length from the combination of the lengths of the channel, date, time-of-day and program length. Then in step 426 the station inserts into the vertical blanking interval of a transmitted television signal the random number and the set of CDTL data and/or compressed codes.

FIG. 13 is a flow graph for retrieving the recorder programming data from the vertical blanking interval. In step 430 the vertical blanking interval is decoded by the receiving recorder to extract a transmitted random number and a set of CDTL data or a set of compressed codes. Then in step 432 each compressed code is decoded into CDTL data. Then in step 434, if the transmitted random number matches the random number of the receiving recorder then the CDTL data is stored in memory in the recorder. Then in step 436 when the date and time-of-day from a clock in the VCR matches the date and time-of-day for the stored CDTL data, the recorder is tuned to the channel for the stored CDTL and records a program for a length of time matching the program length for the stored CDTL data.

Thus, there has been described a simpler system for accomplishing recorder programming, which will enable the user to take advantage of the recording feature of a recorder more freely.

The described embodiments of the invention are only considered to be preferred and illustrative of the inventive concept, the scope of the invention is not to be restricted to such embodiments. Various and numerous other arrangements may be devised by one skilled in the art without departing from the spirit and scope of this invention.

It is therefore intended by the appended claims to cover any and all such applications, modifications and embodiments within the scope of the present invention.

1

5

10

15

20

25

30

PCT/US95/13942 WO 96/13932

#### WHAT IS CLAIMED IS: 1

An apparatus for controlling the recording of video programs, the apparatus 1. comprising:

5

10

means for retrieving a recorder identifier and recorder programming data from a television signal received from a television signal source; means for determining whether the retrieved recorder identifier matches a first

identifier for the apparatus;

means for storing the recorder programming data, if the retrieved recorder identifier matches the first identifier for the apparatus; and

means for using the stored recorder programming data to control recording.

- The apparatus of Claim 1 wherein means for retrieving a recorder identifier and 2. recorder programming data from a television signal comprises a vertical blanking interval decoder.
- The apparatus of Claim 2 wherein the retrieved recorder programming data 3. comprises at least one set of programming data, the programming data comprising a channel, a date, a time-of-day, and a program length for a program to be recorded.

20

25

30

35

15

The apparatus of Claim 3 wherein the means for using the recorder 4. programming data to control recording comprises:

a clock for keeping time including a clock date and a clock time-of-day;

means for comparing the clock date and the clock time-of-day to the programming data date and time-of-day;

means for tuning to the programming data channel, when the clock date and the clock time-of-day compare to the programming data date and time-of-day;

means for starting recording, when the clock date and the clock time-of-day compare to the programming data date and time-of-day; and

means for ending recording, when the recording has continued for a time equal to the program data program length.

The apparatus of Claim 2 wherein the retrieved recorder programming data 5. comprises at least one compressed code, representative of, and compressed in length from, the combination of a channel, a date, a time-of-day, and a program length for a program to be recorded.

1 6. The apparatus of Claim 5 further comprising means for decoding and expanding the compressed code into a channel, a date, a time-of-day, and a program length.

8. The apparatus of Claim 7 wherein the means for using the recorder programming data to control recording comprises:

means for comparing the clock date and the clock time-of-day to the programming data date and time-of-day;

means for tuning to the programming data channel, when the clock date and the clock time-of-day compare to the programming data date and time-of-day;

means for starting recording, when the clock date and the clock time-of-day compare to the programming data date and time-of-day; and

means for ending recording, when the recording has continued for a time equal to the program data program length.

20

15

5

10

- 9. The apparatus of Claim 1 wherein the means for determining whether the retrieved recorder identifier matches a first identifier comprises a microprocessor.
- 10. The apparatus of Claim 1 wherein the means for storing the recorder programming data comprises a memory.
  - 11. The apparatus of Claim 1 further comprising: means for generating a random number;

wherein the means for determining whether the retrieved recorder identifier matches a first identifier comprises means for determining whether the retrieved recorder identifier matches the random number; and

wherein the means for storing the recorder programming data, if the retrieved recorder identifier matches the first identifier comprises means for storing the recorder programming data, if the retrieved recorder identifier matches the random number.

35

30

12. An apparatus for transmitting recorder programming for controlling recording by identified recorders, the apparatus comprising:

means for a user to enter a recorder identifier;

1

5

10

15

20

25

30

35

means for a user to select a program for recording or to select criteria for programs to be recorded;

means for generating recorder programming data corresponding to the selected program or to the selected criteria for programs to be recorded;

means for inserting the recorder identifier and the generated recorder programming data into a video signal; and

means for transmitting the video signal including the inserted recorder identifier and the inserted programming data.

- 13. The apparatus of Claim 12 wherein the means for inserting the recorder identifier and recorder programming data for controlling recorder programming into a video signal comprises a means for inserting data into a vertical blanking interval of a video signal.
- 14. The system of Claim 13 wherein the means for generating the recorder programming data comprises a means for generating at least one set of programming data, the programming data comprising a channel, a date, a time-of-day, and a program length for a program to be recorded.
- 15. The system of Claim 13 wherein the means for generating the recorder programming data comprises means for generating at least one compressed code, representative of, and compressed in length from, the combination of a channel, a date, a time-of-day, and a program length for a program to be recorded.
- 16. A system for controlling recording by identified recorders, the system comprising:

means for a user to enter a recorder identifier;

means for a user to select a program for recording or to select criteria for programs to be recorded;

means for generating recorder programming data corresponding to the selected program or to the selected criteria for programs to be recorded;

means for inserting the recorder identifier and the generated recorder programming data into a video signal;

means for transmitting the video signal including the inserted recorder identifier and the inserted programming data;

1

5

15

20

25

30

35

means for retrieving a first identifier and recorder programming data from a received video signal;

means for determining whether the retrieved first identifier matches the entered recorder identifier;

means for storing the recorder programming data, if the retrieved first identifier matches the entered recorder identifier; and

means for using the stored recorder programming data to control recording.

- 17. The system of Claim 16 wherein the means for inserting the recorder identifier and the recorder programming data into a video signal comprises a means for inserting data into a vertical blanking interval of a video signal.
  - 18. The system of Claim 17 wherein the means for retrieving a recorder identifier and the means for retrieving recorder programming data from a television signal comprises a vertical blanking interval decoder.
    - 19. The system of Claim 16 wherein the means for generating the recorder programming data comprises a means for generating at least one set of programming data, the programming data comprising a channel, a date, a time-of-day, and a program length for a program to be recorded.
    - 20. The system of Claim 19 wherein the means for using the recorder programming data to control recording comprises:

a clock for keeping time including a clock date and a clock time-of-day;

means for comparing the clock date and the clock time-of-day to the programming data date and time-of-day;

means for tuning to the programming data channel, when the clock date and the clock time-of-day compare to the programming data date and time-of-day;

means for starting recording, when the clock date and the clock time-of-day compare to the programming data date and time-of-day; and

means for ending recording, when the recording has continued for a time equal to the program data program length.

21. The system of Claim 16 wherein the means for generating the recorder programming data comprises means for generating at least one compressed code, representative of, and compressed in length from, the combination of a channel, a date, a time-of-day, and a program length for a program to be recorded.

1

5

10

15

25

30

22. The system of Claim 21 further comprising means for decoding and expanding the compressed code into a channel, a date, a time-of-day, and a program length.

- 23. The system of Claim 22 further comprising:
  a clock for keeping time including a clock date and a clock time-of-day;
  wherein the means for decoding and expanding a compressed code into channel,
  date, time-of-day and program length performs the decoding and expanding as a function of
  the clock.
- 24. The system of Claim 23 wherein the means for using the recorder programming data to control recording comprises:

means for comparing the clock date and the clock time-of-day to the programming data date and time-of-day;

means for tuning to the programming data channel, when the clock date and the clock time-of-day compare to the programming data date and time-of-day;

means for starting recording, when the clock date and the clock time-of-day compare to the programming data date and time-of-day; and

means for ending recording, when the recording has continued for a time equal to the program data program length.

25. A method for controlling the recording of video programs, the method comprising the steps of:

retrieving a recorder identifier and recorder programming data from a television signal received from a television signal source for controlling the recording of video programs;

determining whether the retrieved recorder identifier matches a first identifier; storing the recorder programming data, if the retrieved recorder identifier matches the first identifier; and

using the stored recorder programming data to control recording.

- 26. The method of Claim 25 wherein the step of retrieving a recorder identifier and recorder programming data from a television signal comprises the step of decoding a vertical blanking interval.
- The method of Claim 26 wherein the retrieved recorder programming data comprises at least one set of programming data, the programming data comprising a channel, a date, a time-of-day, and a program length for a program to be recorded.

1 28. The method of Claim 27 wherein the step of using the recorder programming data to control recording comprises the steps of:

5

10

15

20

25

30

35

keeping time with a clock including a date and a time-of-day;

comparing the clock date and the clock time-of-day to the programming data date and time-of-day;

tuning to the programming data channel, when the clock date and the clock time-of-day compare to the programming data date and time-of-day;

starting recording, when the clock date and the clock time-of-day compare to the programming data date and time-of-day; and

ending recording, when the recording has continued for a time equal to the program data program length.

- 29. The method of Claim 26 wherein the retrieved recorder programming data comprises at least one compressed code, representative of, and compressed in length from, the combination of a channel, a date, a time-of-day, and a program length for a program to be recorded.
- 30. The method of Claim 29 further comprising the step of decoding and expanding the compressed code into a channel, a date, a time-of-day, and a program length.
- 31. The method of Claim 30 further comprising the steps of:

  keeping time on a clock including a date and a time-of-day;

  wherein the step of decoding and expanding a compressed code into channel,
  date, time-of-day and program length performs the decoding and expanding as a function of
  the time.
- 32. The method of Claim 31 wherein the step of using the recorder programming data to control recording comprises the steps of:

comparing the clock date and the clock time-of-day to the programming data date and time-of-day;

tuning to the programming data channel, when the clock date and the clock time-of-day compare to the programming data date and time-of-day;

starting recording, when the clock date and the clock time-of-day compare to the programming data date and time-of-day; and

ending recording, when the recording has continued for a time equal to the program data program length.

1

5

33.

The method of Claim 25 further comprising the steps of: generating a random number;

wherein the step of determining whether the retrieved recorder identifier matches a first identifier comprises the step of determining whether the retrieved recorder identifier matches the random number; and

wherein the step of storing the recorder programming data, if the retrieved recorder identifier matches the first identifier comprises the step of storing the recorder programming data, if the retrieved recorder identifier matches the random number.

10

34. A method for transmitting recorder programming for controlling recording by identified recorders, the method comprising the steps of:

a user entering a recorder identifier;

a user selecting a program for recording or selecting criteria for programs to be recorded:

15

generating recorder programming data corresponding to the selected program or to the selected criteria for programs to be recorded;

inserting the recorder identifier and the generated recorder programming data into a video signal; and

transmitting the video signal including the inserted recorder identifier and the inserted programming data.

20

35. The method of Claim 34 wherein the step of inserting the recorder identifier and recorder programming data for controlling recorder programming into a video signal comprises the step of inserting data into a vertical blanking interval of a video signal.

25

36. The method of claim 35 wherein the step of generating the recorder programming data comprises the step of generating at least one set of data including a channel, a date, a time-of-day, and a program length for a program.

30

37. The method of claim 35 wherein the step of generating recorder programming data comprises the step of generating at least one compressed code, representative of, and compressed in length from, the combination of a channel, a date, a time-of-day, and a program length for a program.

35

1 38. A method for controlling recording by identified recorders, the method comprising the steps of:

a user entering a recorder identifier:

5

10

15

30

35

a user selecting a program for recording or selecting criteria for programs to be recorded;

generating recorder programming data corresponding to the selected program or to the selected criteria for programs to be recorded;

inserting the recorder identifier and the generated recorder programming data into a video signal;

transmitting the video signal including the inserted recorder identifier and the inserted programming data;

retrieving a first identifier and recorder programming data from a received video signal;

determining whether the retrieved first identifier matches the entered recorder identifier;

storing the recorder programming data, if the retrieved first identifier matches the entered recorder identifier; and

using the stored recorder programming data to control recording.

- 39. The method of Claim 38 wherein the step of inserting the recorder identifier and the recorder programming data for controlling recorder programming into a video signal comprises the step of inserting data into a vertical blanking interval of a video signal.
- 40. The method of Claim 39 wherein the step of retrieving a recorder identifier and the retrieving recorder programming data from a television signal comprises the step of decoding a vertical blanking interval.
  - 41. The method of Claim 38 wherein the step of generating recorder programming data comprises the step of generating at least one set of programming data, the programming data comprising a channel, a date, a time-of-day, and a program length for a program to be recorded.
  - 42. The method of Claim 41 wherein the step of using the recorder programming data to control recording comprises the steps of:

keeping time including a clock date and a clock time-of-day;

comparing the clock date and the clock time-of-day to the programming data date and time-of-day;

tuning to the programming data channel, when the clock date and the clock time-of-day compare to the programming data date and time-of-day;

starting recording, when the clock date and the clock time-of-day compare to the programming data date and time-of-day; and

ending recording, when the recording has continued for a time equal to the program data program length.

- 43. The method of Claim 38 wherein the step of generating recorder programming data comprises the step of generating at least one compressed code, representative of, and compressed in length from, the combination of a channel, a date, a time-of-day, and a program length for a program to be recorded.
- 44. The method of Claim 43 further comprising the step of decoding and expanding the compressed code into a channel, a date, a time-of-day, and a program length.
- 45. The method of Claim 44 further comprising the steps of:

  keeping time including a clock date and a clock time-of-day;

  wherein the step of decoding and expanding a compressed code into channel,
  date, time-of-day and program length performs the decoding and expanding as a function of
  the clock date.
- 46. The method of Claim 45 wherein the step of using the recorder programming data to control recording comprises the steps of:

comparing the clock date and the clock time-of-day to the programming data date and time-of-day;

tuning to the programming data channel, when the clock date and the clock time-of-day compare to the programming data date and time-of-day;

starting recording, when the clock date and the clock time-of-day compare to the programming data date and time-of-day; and

ending recording, when the recording has continued for a time equal to the program data program length.

5

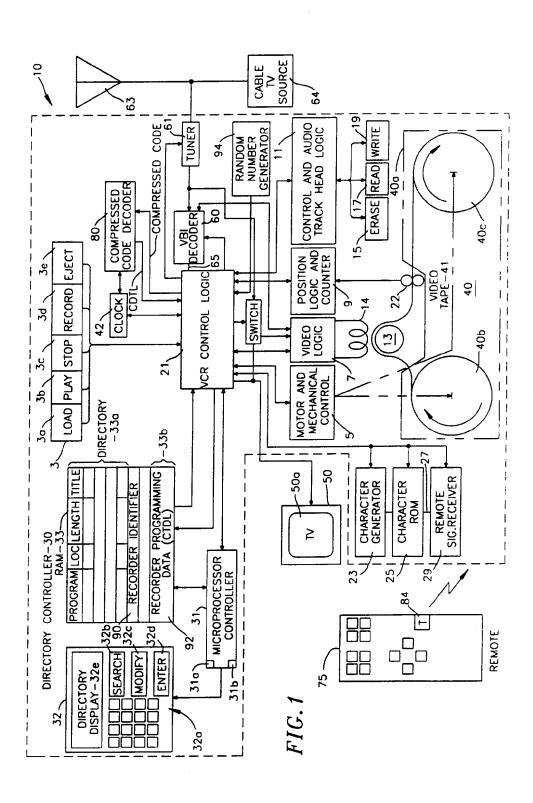
10

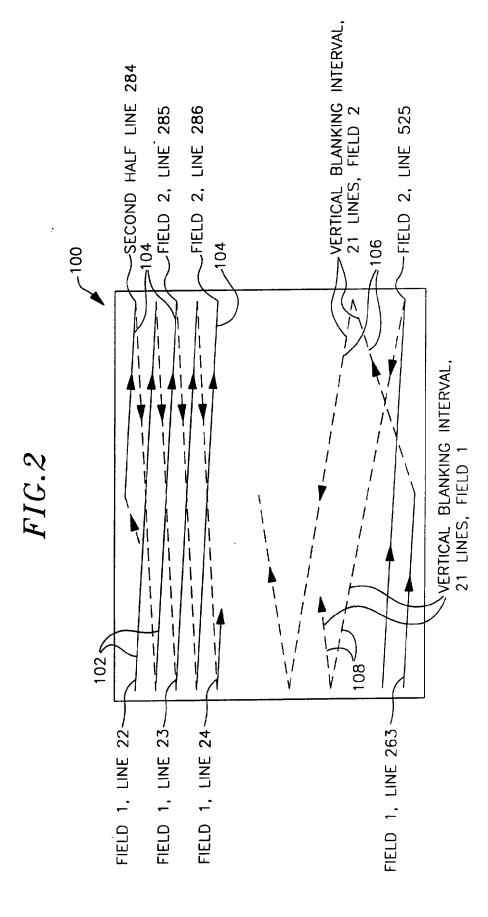
15

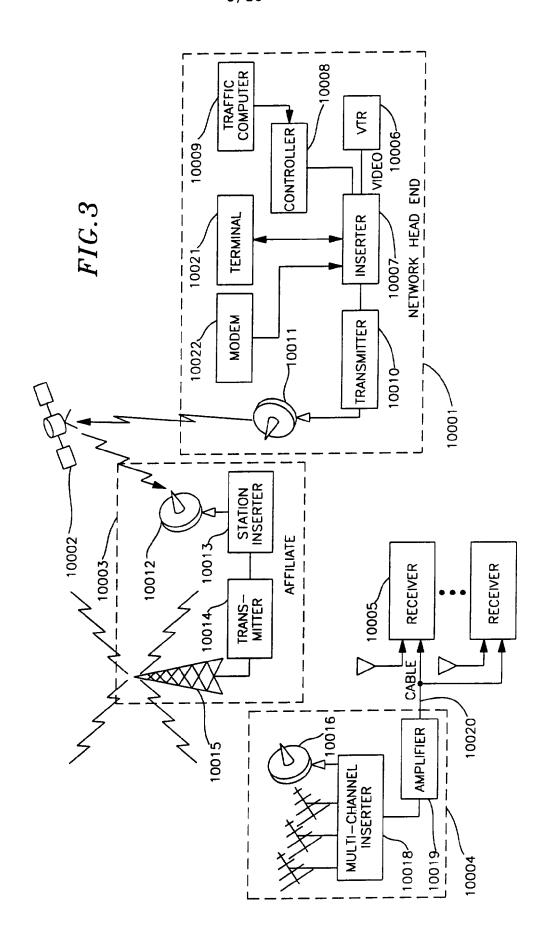
20

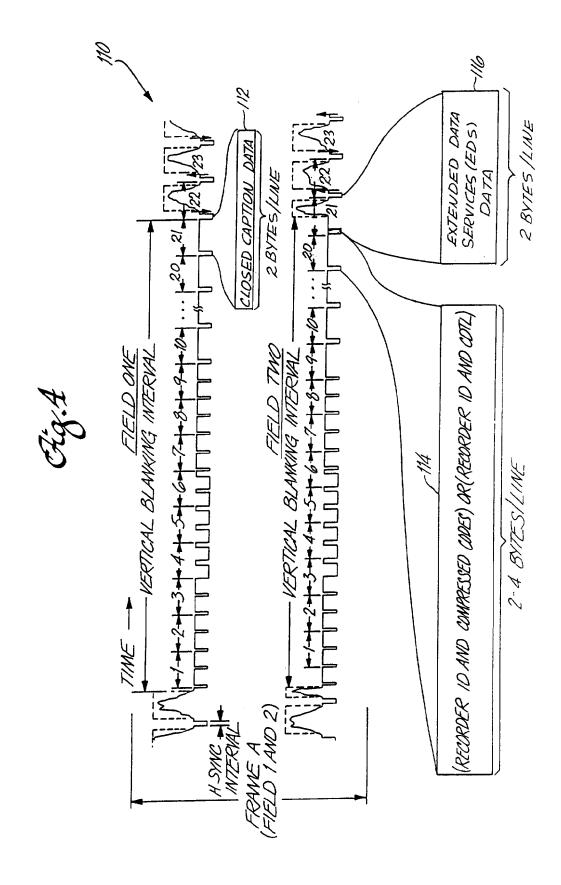
25

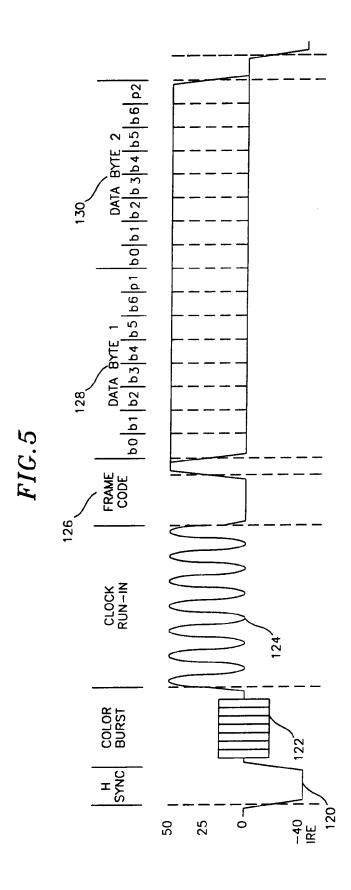
30

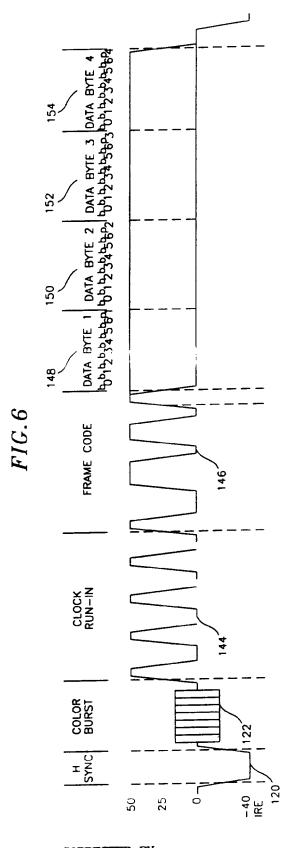




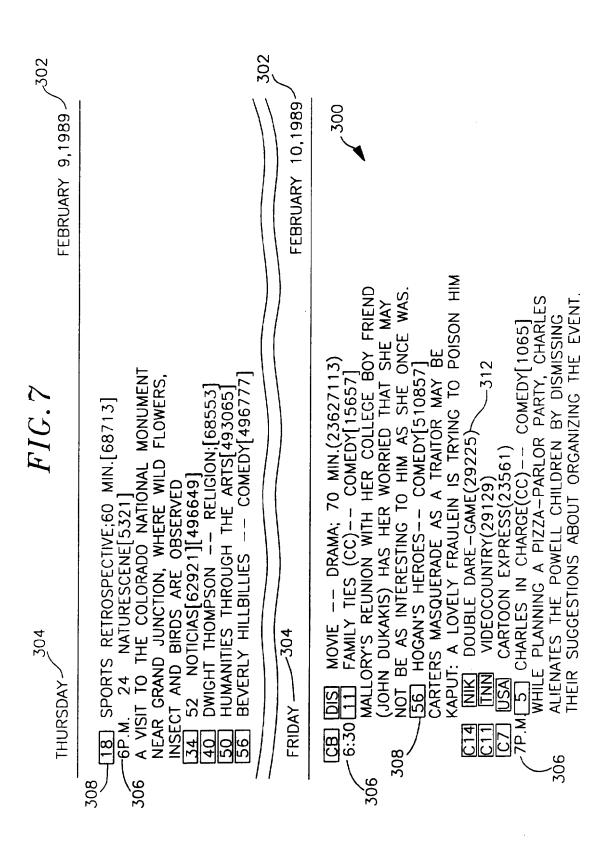




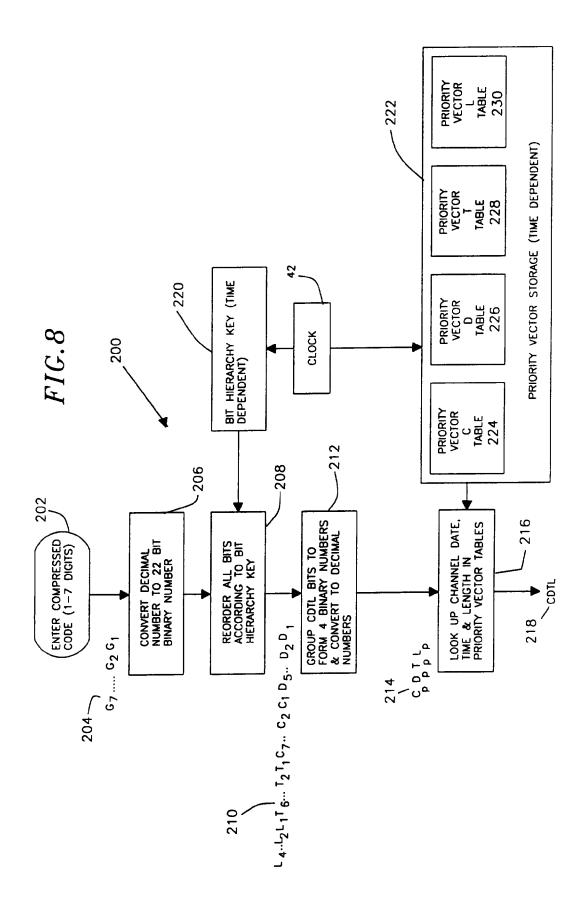


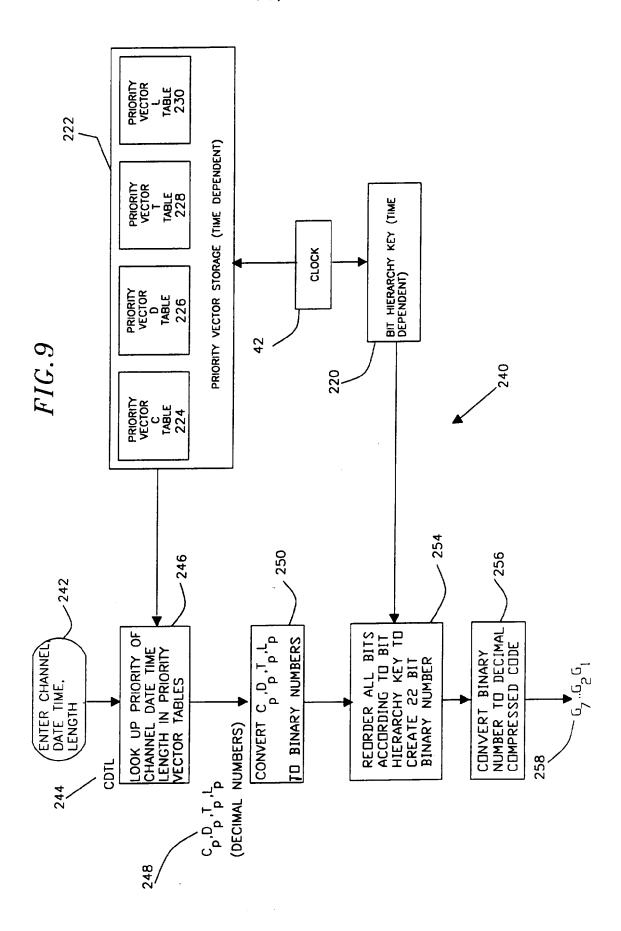


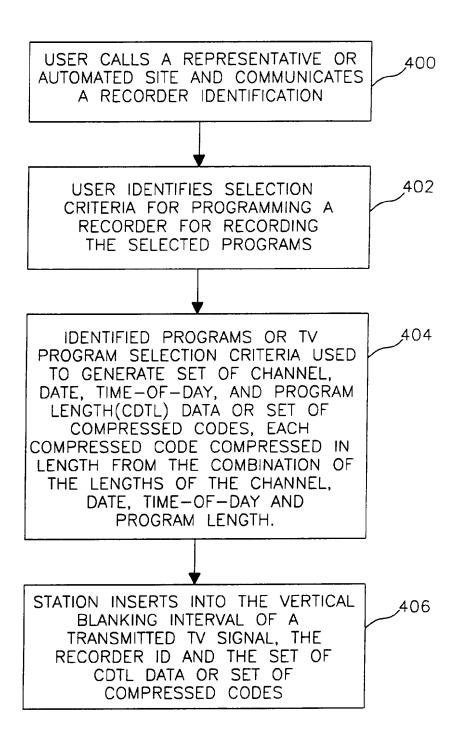
CORRECTED BY RO/US

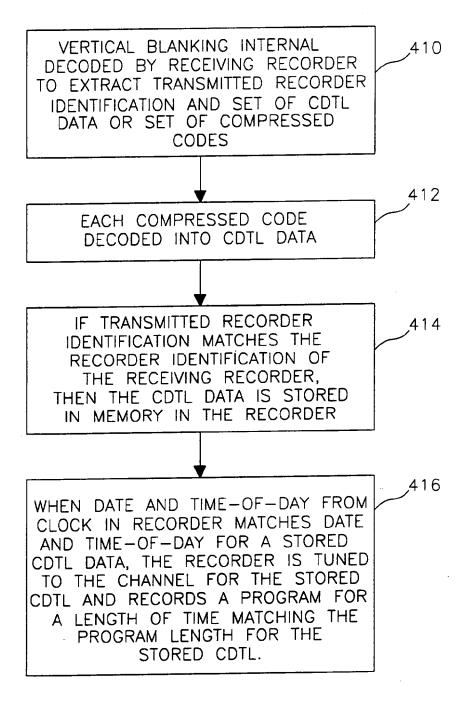


8/13



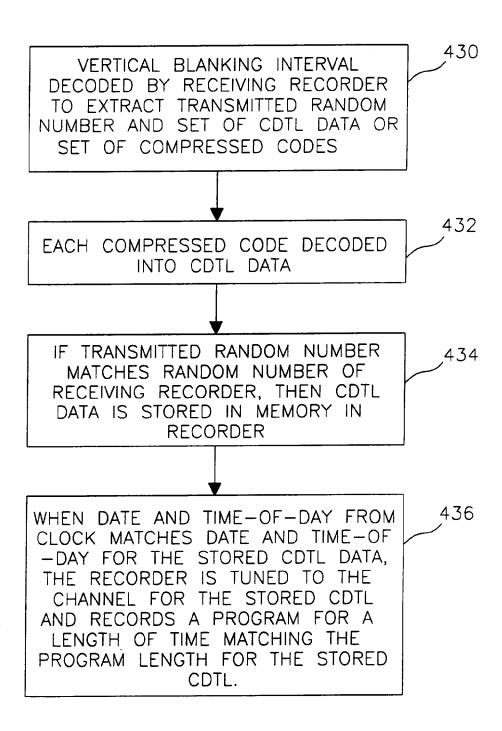






420 GENERATES A RANDOM NUMBER AND DISPLAYS IT TO USER USER CALLS REPRESENTATIVE OR 422 AUTOMATED SITE AND COMMUNICATES RANDOM NUMBER AND IDENTIFIES PROGRAMS OR PROGRAM SELECTION CRITERIA FOR PROGRAMMING THE RECORDER FOR RECORDING THE SELECTED PROGRAMS IDENTIFIED PROGRAMS OR TV 424 PROGRAM SELECTION CRITERIA USED TO GENERATE SET OF CHANNEL, DATE. TIME-OF-DAY, AND PROGRAM LENGTH(CDTL) DATA OR SET OF COMPRESSED CODES, EACH COMPRESSED CODE COMPRESSED IN LENGTH FROM THE COMBINATION OF THE LENGTHS OF THE CHANNEL, DATE, TIME-OF-DAY AND PROGRAM LENGTH STATION INSERTS INTO THE VERTICAL 426 BLANKING INTERVAL OF A TRANSMITTED TV SIGNAL, THE RANDOM NUMBER AND THE SET OF CDTL DATA OR COMPRESSED

CODES



# INTERNATIONAL SEARCH REPORT

International application No. PCT/US95/13942

IPC(6)	SSIFICATION OF SUBJECT MATTER :H04N 5/76, 5/775, 5/782					
US CL According	:358/335; 360/33.1; 348/731, 734; 455/151.2, 181.1 o International Patent Classification (IPC) or to both	, 186.1 national classification and IPC				
	DS SEARCHED					
Minimum d	ocumentation searched (classification system follower	d by classification symbols)				
U.S. :	358/335; 360/33.1; 348/731, 734; 455/151.2, 181.1,	186.1				
Documental	ion searched other than minimum documentation to the	extent that such documents are included in the fields searched				
Electronic o	lata base consulted during the international search (na	ame of data base and, where practicable, search terms used)				
C. DOC	EUMENTS CONSIDERED TO BE RELEVANT					
Category*	Citation of document, with indication, where ap	propriate, of the relevant passages Relevant to claim No.				
x	US,A,5,307,173 (YUEN ET AL.) LINE 30 TO COL. 34, LINE 55.	26 APRIL 1994, COL. 5, 1-46				
х	US,A,5,335,079 (YUEN ET AL.) 02 AUGUST 1994, COL. 3, LINE 61 TO COL. 29, LINE 59.					
:						
Furth	ner documents are listed in the continuation of Box C	. See patent family annex.				
A. do	ecial categories of cited documents: cument defining the general state of the art which is not considered	*T* later document published after the international filing date or priority date and not in coaffict with the application but cited to understand the principle or theory underlying the invention				
*E* ea	be part of particular relevance rlier document published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone				
cit sp	cument which may throw doubts on priority claim(s) or which is ed to establish the publication date of another citation or other scial reason (as specified)  cument referring to an oral disclosure, use, exhibition or other	"Y"  document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination				
"P" do	wans cument published prior to the international filing date but later than	being obvious to a person skilled in the art  "&" document member of the same patent family				
	actual completion of the international search	Date of mailing of the international search report				
	UARY 1996	04 MAR 1996				
Commission Box PCT	nailing address of the ISA/US ner of Patents and Trademarks n. D.C. 20231	Authorized officer OKHOI D. TRUONG Jon Hull				
Facsimile N		Telephone No. (703) 305-4727				

# INTERNATIONAL SEARCH REPORT

International application No. PCT/US95/13942

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2. Claims Nos.:  because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
Claims Nos.:  because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
Please See Extra Sheet.
As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest  The additional search fees were accompanied by the applicant's protest.  X  No protest accompanied the payment of additional search fees.

#### INTERNATIONAL SEARCH REPORT

International application No. PCT/US95/13942

BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claims 1-11 and 25-33, drawn to an apparatus for controlling the recording of video programs which retrieves a recorder identifier and recorder programming data from a television signal received from a television signal source. Group II, claims 12-24 and 34-46, drawn to an apparatus for transmitting recorder programming for controlling recording by identified recorders wherein the user enters a recorder identifier.

The inventions listed as Groups I and II do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: Group I is an apparatus for controlling the recording of video programs which retrieves a recorder identifier and recorder programming data from a television signal received from a television signal source. Group II is an apparatus for transmitting recorder programming for controlling recording by identified recorders wherein the user enter a recorder identifier and does not share the common special technical feature of retrieving a recorder identifier and recorder programming data from a television signal received from a television signal source as in Group II.